United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

24239

SUITE 800

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/710,285

MOORE & VAN ALLEN, PLLC

2200 W MAIN STREET

DURHAM, NC 27705

06/30/2004

Blue Houser

006979.001260

CONFIRMATION NO. 4284

FORMALITIES LETTER

OC000000013442350

Date Mailed: 08/05/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

10/05/2004 HGUTEMA1 00000023 10710285

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC under the Paperwood Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number						
TRANSMITTAL FORM			Application Number Filing Date	10/710,285 June 30, 200		
			First Named Inventor	Blue Houser		
			Group Art Unit	3618		
(to be used for all co	orrespondence after ini	uai illing)	Examiner Name			
Total Number of Pa	ages in This Submissio	n 13	Attorney Docket Number	006979-0012	60	
		ENCLOSUR	ES (check all that apply)			
Fee Attach Amendment / Re After Final Affidavits/d Extension of Tim Express Abandon Information Discl Certified Copy of Documents Response to Mis Incomplete Appli	Fee Transmittal Form Fee Attached Licensin Petition Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Documents Drawing Drawing Change Address Termins Request CD, Nu		ing-related Papers n n to Convert to a ional Application of Attorney, Revocation e of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Copy of Notice to File Missing Parts; 4 Page Declaration; Express Mail Certificate and Acknowledgment Postcard.		
	SIGNA	TURE OF APPL	IDANT, ATTORNEY, OF	RAGENT		
Individual Name Signature	MICHAEL G JOHN MOORE & VAN ALI DCTOBER 1, 2004	STON LEN				
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Typed or printed name						
Signature		*		Date		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of. Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of

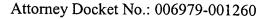
OIP	Complete if Known				
TREE TRANSMI	Application Number	10/710,285			
for FY 200	Filing Date	June 30, 2004			
Patent fees are subject to annual r	First Named Inventor	Blue Houser			
Car was	Examiner Name				
TOTAL AMOUNT OF PAYMENT	(4)	Group Art Unit			
	(\$)130.00	Attorney Docket No.	006979-001260		

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None Order		3. ADDITIONAL FEES					
Deposit Account:		Large Entity Small Entity		Entity			
Deposit 13-4365 Account Number	Fee Code	Fee (\$)	Fee Cod e	Fee (\$)	Fee	Description	Fee Paid
Deposit Moore & Van Allen PLLC Account	105 127	130 50	205 227	65 25	Surcharge – late fili Surcharge – late pro sheet	ng fee or oath ovisional filing fee or cover	130.00
Name							
The Commissioner is authorized to: (check all that apply)		130	139	130	Non-English specifi		
Charge fee(s) indicated below Credit any overpayments		2,520	147	2,520	For filing a request for ex parte reexamination Requesting publication of SIR prior to		
Charge any additional fee(s) during the pendency of this application	112	920*	112	920*	Examiner action	ion of SIR prior to	
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	113	1,840*	113	1,840*	Requesting publicat	ion of SIR after Examiner	
FEE CALCULATION	┨‴	1,040	113	1,040	action	Jon of SIK after Examiner	·
1. BASIC FILING FEE	115	110	215	55	Extension for reply	within first month	
Large Entity Small Entity	116	420	216	210		within second month	
Fee Fee Fee Fee Fee Description Fee Paid	117	950	217	475	Extension for reply		
101 770 201 385 Utility filing fee	118	1,480	218	740	Extension for reply	within fourth month	
106 340 206 170 Design filing fee 107 530 265 260 Plant filing fee	128 119	2,010	228	1,005	Extension for reply	within fifth month	
107 530 265 260 Plant filing fee 108 770 208 385 Reissue filing fee	120	330 330	219 220	165 165	Notice of Appeal Filing a brief in supp	nort of an anneal	
114 160 214 80 Provisional filing fee	121	290	221	145	Request for oral hea	* *	
	138	1,510	138	1,510	Petition to institute a	a public use proceeding	
SUBTOTAL(1) (\$)	140	110	240	55	Petition to revive - u	navoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	141	1,330	241	665	Petition to revive - u	nintentional .	
Fee from Extra Claims below Fee Paid	142	1,330	242	665	Utility issue fee (or r	reissue)	
Total Claims20**= X =		480	243	240	Design issue fee		
Independent3**= X =	144	640	244	320	Plant issue fee		
Claims =	122	130	122	130	Petitions to the Corr	nmissioner	
Multiple Dependent	123	50	123	50	Processing fee unde	er 37 CFR 1.17(q)	
Large Entity Small Entity Fee	126	180	126	180-	Submission of Inform	mation Disclosure Stmt	
Code (\$) Code (\$)	581	40	581	40	Recording each pate property (times num		
103	146	770	246	385	Filing a submission	often food rejection	
104 290 204 145 Multiple dependent claims, if not paid					(37 ČFR § 1.129(a))	•	
109 86 209 43 ** Reissue independent claims over original patent	149	770	249	385	For each additional i (37 CFR § 1.129(b))	invention to be examined	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	179	770	279	385	Request for Continu	ed Examination (RCE)	
	169	900	169	900	Request for expedite of a design application		
SUBTOTAL(2) (\$)	Other fee	ı _ (specify) _					
**or number previously paid, if greater, For Reissues, see above	* Reduce	* Reduced by Basic Filing Fee Paid			SUBT	OTAL(3)	(\$)130.00
·	1				-		
SUBMITTED BY					Complete (if	applicable)	
Name (Print Type) Michael G. Johoston (Attorney/Agent)		Registration No. Telephone 38,194 919-286-8000					
Signature				Date	October 1, 20	04	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card

information and authorization on PTO-2038.

Burden Hour Statement; This form is estimated to take 0.2 bours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 200231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Blue Houser et al.

Application No.: 10/710,285

Filed: June 30, 2004

For: DOOR OPERATOR

Mail Stop: Missing Parts Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

COMPLETION OF FILING REQUIREMENTS

1. This replies to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) mailed on .

A copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) is enclosed.

DECLARATION OR OATH

2. No original signed declaration or oath was filed and enclosed is the original signed declaration or oath for this application.

COMPLETION FEES

3. Filing fee

Utility patent application (37 CFR 1.16(a))\$740.00;	
small entity\$370.00)	\$
Design application (37 CFR 1.16(f))\$330.00; small	
entity\$165.00)	\$

Fees for claims

Independent claims in excess of three (\$84.00;	
small entity\$42.00)	\$
Claims in excess of twenty (\$18.00;	
small entity\$9.00)	\$
Multiple dependent claims (\$280.00;	
small entity\$140.00)	\$

Attorney Docket No.: 006979-001260

Surcharge fees

Late filing of original declaration or oath (37 CFR 1.16(e)--\$130.00; small entity--\$65.00);

\$ 130.00

Petition and fee for filing by other than all the inventors or a person not the inventor (37 CFR 1.17(h) and 1.47--\$130.00) \$

Fee for processing an application filed with a specification in a non-English language (37 CFR 1.17(k) and 1.52(d)--\$130.00)

\$

\$

Fee for processing and retention of application (37 CFR 1.21(1) and 1.53(d)--\$300.00)

Total Completion Fees

\$ 130.00

4. Payment of Fees

Enclosed is a check for \$130.00.

AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

5. The Commissioner is hereby authorized to charge additional fees which may be required by this paper and during the pendency of this application to Deposit Account No. 13-4365.

October 1, 2004

(DATE)

(SIGNATURÈ ØF ATTORNEY)

Reg. No.: 38,194

Michael G. Johnston

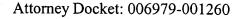
Type or print name of attorney

Tel No.: (919) 286-8000

2200 W. Main Street, Suite 800

Address

Durham, North Carolina 27705





EXPRESS MAIL CERTIFICATE

EXPRESS MAIL LABEL NUMBER: EV 453087781 US

Date of Deposit: October 1, 2004

First Named Inventor: Blue Houser

For: DOOR OPERATOR

I hereby certify that the following documents:

- 1. Transmittal Form (PTO/SB/21);
- 2. Fee Transmittal for FY 2004 (PTO/SB/17);
- 3. Check in the amount of \$130.00;
- 4. Completion of Filing Requirements;
- 5. Copy of Notice to File Missing Part;
- 6. Declaration (4 Pages);
- 7. Express Mail Certificate; and
- 8. Acknowledgement Postcard.

are being deposited in a single envelope with the United States Postal Services "Express Mail" service under 37 C.F.R. 1.10 on the date indicated above and such envelope is addressed to: Mail Stop: Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Name of Berson Mailing Documents)

(Signature of Person Mailing Documents)